DT03 Rec'd PCT/PTO 0 9 DEC 2007

PTO-1390 (Rev. 12-2004)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) NCERNING A SUBMISSION LINDER 35 H.S.C. 371

ATTTORNEY'S DOCKET NUMBER 36856.1310 U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

CONCERNING A SUBMISSIC	111/5/89/5									
INTERNATIONAL APPLICATION NO. PCT/JP03/07055	INTERNATIONAL FILING DATE June 4. 2003	PRIORITY DATE CLAIMED June 13, 2002								
TITLE OF INVENTION SEMICONDUCTOR DEVICE AND METHOD FOR MANUFACTURING SEMICONDUCTOR DEVICE										
APPLICANT(S) FOR DO/EO/US Yoshihiro ITO and Michio KADOTA										
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:										
X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.										
This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.										
his is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.										
4. The US has been elected (Article 31).	The US has been elected (Article 31).									
5. X A copy of the International Application	A copy of the International Application as filed (35 U.S.C. 371(c)(2))									
a. is attached hereto (required	a. is attached hereto (required only if not communicated by the International Bureau).									
b. X has been communicated by	b. X has been communicated by the International Bureau.									
	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).									
a. is attached hereto.	a. X is attached hereto.									
b. has been previously submit	b. has been previously submitted under 35 U.S.C. 154(d)(4).									
7. Amendments to the claims of the Inte	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))									
a. ure attached hereto (requi	a. are attached hereto (required only if not communicated by the International Bureau).									
b. have been communicated	by the International Bureau.									
c. have not been made; how	ever, the time limit for making such amendn	nents has NOT expired.								
d. L have not been made and v	will not be made.									
8. An English language translation of th	ne amendments to the claims under PCT Art	ticle 19 (35 U.S.C. 371(c)(3)).								
e, An oath or declaration of the inventor	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).									
10. An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).									
Items 11 to 20 below concern document(s	s) or information included:									
11. X An Information Disclosure Statement	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.									
12. An assignment document for recording	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.									
13. X A preliminary amendment.	A preliminary amendment.									
	An Application Data Sheet under 37 CFR 1.76.									
15. X A substitute specification.	A substitute specification.									
16. A power of attorney and/or change of	A power of attorney and/or change of address letter.									
17. A computer-readable form of the sequ	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.									
18. A second copy of the published Interr	A second copy of the published International Application under 35 U.S.C. 154(d)(4).									
19. A second copy of the English language	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).									
20 X Other items or information: SOVO	Other items or information: SOVEN (7) shoots of formal drawings including fine 1 of									

20. XJ Other items or information: Seven (7) sheets of formal drawings including figs. 1–9b

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed from to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

DT05 Rec'd PCT/PT0 0 9 DEC 2004

PTO-1390 (Rev. 12-2004)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

CATION NO. (Itknown, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.

U.S. APPACA	NON NO-(IV		37 CFR 1.5) INTERNATIONAL AP		ATION NO.	36856.1310	KEI NUMBER	
			<u>4フ</u>	PCT/JP03/070	55		30030.1310		
21. The following fees are submitted: X a Basic national fee						\$	 		
l <u>=</u>							\$	-	
b) Examination fee \$200.00							_		
X c) Search fee\$500.00						\$			
TOTAL OF ABOVÉ CALCULATIONS = \$1000.00						\$			
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.									
Total Sheets	Extra shee	ts	Number of each additional 50 or fr thereof (round up to a whole nur			RATE			
- 100 =		/50 =				× \$250.00	\$		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).						\$			
CLAIMS	١	IUMBER FI	LED	NUMBER EXTRA		RATE	\$		
Total claims		19	- 20 =	0	х	\$50.00	\$		
Independent cla	ims	2	- 3 =	0	х	\$200.00	\$		
MULTIPLE DEP	ENDENT CL		plicable)		+	\$360.00	\$		
				TOTAL OF ABOVE	CALC	CULATIONS =	\$1,000.00		
Applicant of by 1/2.	claims small e	ntity status.	See 37 CF	R 1.27. The fees indicated ab	ove a	re reduced	\$		
SUBTOTAL =						\$1,000.00			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).						\$			
TOTAL NATIONAL FEE =					\$1,000.00				
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +						\$			
				TOTAL FI	ES E	NCLOSED =	\$1,000.00		
						Amount to be refunded:	\$		
							Amount to be charged:	\$	
a. A chec	ck in the amo	unt of \$		to cover the abo	ve fee	es is enclosed.			
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.									
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 50–1353. A duplicate copy of this sheet is enclosed.									
d. Eees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.									
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.									
SEND ALL CORRESPONDENCE TO:									
Joseph R. Keating, Esq. Keating & Bennett, LLP									
10400 Faton Place Suite 312 Christopher A. Bennett									
Fairfax, VA 22030 46,710									
	REGISTRATION NUMBER								
REGISTRATION NUMBER									

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Yoshihiro ITO and Michio KADOTA

Serial No.: Unknown

Filed: Concurrently herewith

For: SEMICONDUCTOR DEVICE AND

METHOD FOR MANUFACTURING

SEMICONDUCTOR DEVICE

International Application No.:

PCT/JP03/07055

International Filing Date:

June 4, 2003

GENERAL AUTHORIZATION/REQUEST TO PETITION FOR EXTENSIONS OF TIME

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

With reference to the subject application, and pursuant to 37 C.F.R. § 1.136, Applicant(s) hereby authorize(s) and request(s) the Commissioner to treat any correspondence requiring a petition for extension of time as containing such a request therefor for the appropriate length of time. This general authorization is effective during the pendency of this application, including any division or continuing application therefrom.

General Authorization equest December 9, 2004 Page 2 of 2

Where no check is received by the Commissioner, you are hereby authorized to charge payment of the requisite petition fees, or charge any additional fee required under 37 C.F.R. § 1.17, or credit any overpayment of same, to Deposit Account No. 50-1353.

Respectfully submitted,

Date: December 9, 2004

Attorneys for Applicant(s)

Joseph R. Keating Registration No. 37,368

Christopher A. Bennett Registration No. 46,710

KEATING & BENNETT LLP

10400 Eaton Place, Suite 312 Fairfax, VA 22030

Telephone: (703) 385-5200 Facsimile: (703) 385-5080